

# Lecture 1:

MAT 670

Comment

: more breakfast  
Definitions...

1.0) Admin

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Room ??? hopefully NT 020008

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Evaluation: • Short quizzes

- a project: 20<sup>+</sup> minute talk + written summary

I can update via email, or the TU Online...

- wkusner.github.io/MAT670/

We will take a 10 min break at ~ 15:50

If we end early / I run out of material...

we can stop.

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1.1 Mathematics...

= Packings, Lattices and Configurations

Classical  
convex/  
Discrete  
Geometry

Geometry  
of  
numbers

Applied Topology  
Morse Theory

Stat Mech  
Combinatorics  
Information

Various ideas from each, all ~~to~~ dealing with configurations...

{ Rigidity Theory, Configurations of linkages  
Information Theory

Geometry of Numbers

Packing Problems ← my main motivation.  
⋮

Easy to state, hard to solve.

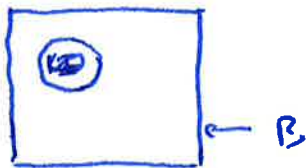
≡ [Packing, inequalities  
config. spaces

## 1.2 Packing problems.

Start with 2D

packing circles (Disks) in a

box.



Want to maximize density

(volume fraction)

$$\frac{\text{Volume}^K(\mathbb{D})}{\text{Volume}(B)}$$

Now, for  $\bigcup_{i=1}^N K_i$

$$K \sim K_i \sim K_j \quad (K_i = \varphi K_j) : \varphi \in \mathbb{R}^2 \times SO^2$$

$$K_i \subset B$$

$$K_i \cap K_j = \varnothing$$

Congruent, contained in  $B$  or, it is a packing.

eg.

consider the largest possible <sup>scale</sup> radius  $r$

st  ~~$\bigcup_{i=1}^N K_i$~~   $\bigcup_{i=1}^N \varphi_i r K_i \subset B$ , is a

~~$\varphi_i r K_i$~~

packing  
of  $B$

or, find a <sup>configuration,  $\{X\}$</sup>  collection of

$N$  points in  $B$  st

$$d(x_i, x_j) > r \text{ for } i \neq j$$

$$\text{and } d(x_i, \partial B) \geq r \forall i$$

{ Minkowski  
notation }

---

These are hard problems.

infinite variety by changing

$N$ ,  $K$  and  $B$ , dimension...

→ other constraints...

One case of interest is the  $B = \mathbb{R}^2$  case...

The  $B$  circle packing problem in the plane.

or...  $B = \mathbb{R}^d$

or...  $B = \mathbb{S}^2$

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1.2)  $B = \mathbb{R}^2$

We have an infinite collection of congruent disks, now normalized to have unit radius (~~circle disk~~)  
 $K_i \cap K_j = \emptyset$

The density of such a packing  $P$  is given by.

$$\delta^+(P) = \limsup_{R \rightarrow \infty} \frac{\text{Vol}(P \cap \mathbb{D}^2)}{\text{Vol}(\mathbb{D}^2)}$$

Similarly, lower density...

These quantities may not be well defined in general...

- does not depend on  $\vec{O}$
- does depend on  $\mathbb{D}^2$  shape.

For general...  $\delta^+(P) = \dots \frac{P \cap \mathbb{D}^n}{\mathbb{D}^n}$

Does such a packing exist? ...

≡

We might look at this in more detail later ...

≡

How can we compute ~~a~~ bounds on ~~the~~ density?

clearly,  $\delta^+(\mathcal{P}) \leq 1$

≡

(bad...)

Also, we can construct lower bounds

using highly structured packings -

for example.

$(\mathbb{Z})^d$  is a packing.

Since this structure is periodic...

we can work with the

fundamental domain.

Easy Exercise: (Why?)

$$V_{\text{Ball}}(\vec{r}) \rightarrow S(r) = \frac{\pi^{d/2}}{\Gamma(\frac{d}{2}+1)} r^d$$

Ball

easy in  
end d.c.



density

$$= \left( \frac{\pi^{d/2}}{(\frac{d}{2})! 2^d} \right)$$

We could improve this by considering  
better ~~flat~~ periodic systems

[ Easy exercise: A ~~flat~~ periodic system  
can approximate a density  
peak. ]

but ...

[ Given  $A$   $A_1, A_2, \dots, A_d$  ?  
root system c. ]

1.3

### Ball-Bound (non-constructive)

Consider our packing to be saturated.

That is,  $P$  st  $P \cup \phi K$  is not a packing for any  $\phi \in \mathbb{R}^d \times SO(d)$

- No additional disks can be added.
- 1. they certainly exist (infinite algorithm...)
- 2. They ~~have~~ saturation does not decrease  $\delta^+(P)$

Surprisingly useful idea...

$$P \text{ Saturation} \Rightarrow d(x, K_i) < 1 \quad \forall x \in \mathbb{R}^d$$

~~$\mathbb{R}^d K_i$~~   $\{z K_i\}$  is a covering.

$$(\exists \phi_i \in \mathbb{R}^d) \phi_i$$

Correct...

$$\Rightarrow 2^d \cdot \delta^+(P) \geq 1$$

$$\Rightarrow \delta^+(P) \geq \frac{1}{2^d}$$

We may go over some slight improvements  
 in the future... but none seems  
 satisfactory

=

Problem is that the ~~local state~~  
 there is a lot more freedom in  $d > 3!$   
 even. =====

$$[(2\mathbb{Z})^4 \dots \Delta = 2\sqrt{4} = 4 \dots]$$

=

1.4) upper bounds in  $d = 2$ .

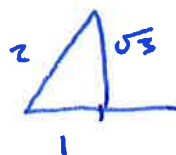
~~There is a~~

- 1) The Lower bound is constructed.  
 hex,  $\Delta$  lattice



$$\delta = \frac{\pi}{\sqrt{12}}$$

equilateral  $\Delta$ . base 2



$$\frac{\pi}{2\sqrt{3}}$$



~~$$\frac{1}{2r} \geq \frac{\sqrt{3}}{2} 2r$$~~

$$\frac{1}{2r} \geq \frac{\sqrt{3}}{2} 2r$$

~~$$2r \leq \sqrt{\frac{2}{\sqrt{3}}}$$~~

$$2r \leq \sqrt{\frac{2}{\sqrt{3}}}$$

$$\frac{\pi r^2}{1} = \text{density} \leq \frac{\pi}{\sqrt{12}} //$$

For Lattices... we may consider minimizing  
~~the det of the span for a unit lattice.~~



max minimum distance between 2 points... in a unit lattice.

can be small...  $\parallel$

but is bounded above...



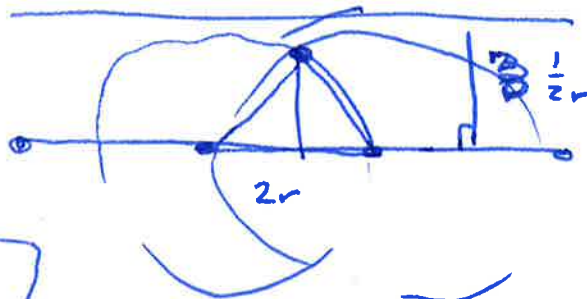
min  $\frac{d}{2}$   
 dist between circles...

also on 

Note

not  
only orthog  
to

$\frac{1}{2r}$  is height  
of  $\parallel$  to



maximize  $2r \Rightarrow$  minimize  $\frac{1}{2r}$

$$\frac{1}{2r} \geq \frac{\sqrt{3}}{2} 2r$$

$\Rightarrow$

$$\frac{1}{2r} \geq \frac{\sqrt{3}}{2} 2r$$

$$\frac{1}{2r} = \frac{\sqrt{3}}{2} 2r$$

$$\frac{1}{2r} = \frac{\sqrt{3}}{2} 2r = \sqrt{3} r$$

$$\frac{1}{2r} \geq \sqrt{3} r$$

In general, we need some analogous method to partition space.

≡

Classically: Dirichlet Voronoi diagrams are good...

$$D_i = \{x \in \mathbb{R}^2 : d(x, P_i) < d(x, P_j) \forall j \neq i\}$$

$D_i$  associated with  $P_i$

is 

$$D_i = \{x \in \mathbb{R}^2 : d(x, P_i) < d(x, P_j) \forall j \neq i\}$$

when  $P_i$  is a disk and  $d =$  ~~the~~   
 standard ~~metric~~   
 distance...

This is also the dual to the cube of   
the cube...

Nice property... half space decomposition   
 w/ centers, ~~so~~   
 so convex...

partition space... up to a 2 term.

... picture...

Dual notion: Delaunay  $\Delta$ -ation.

- non unique ...  $\square$  ...

Difficult ..

Characterization: circumcircles of

$\Delta$ 's are empty of <sup>other</sup> points, unless ..

[ Its circum center is the  
Voronoi center

Existence:

Consider a collection of  $n$  points

in the plane

~~and fix a radius  $r$ .~~

Lift to  ~~$\mathbb{R}^3$  as  $(x, y, z)$  points...~~

$a, b, c, d$  in plane  $x-y$

l.f.t to  $\hat{a}, \hat{b}, \hat{c}, \hat{d}$  on  $z = x^2 + y^2$

$d$  circ center of  $a, b, c$

$\Leftrightarrow$

$\hat{d}$  is the lower  $\frac{1}{2}$  sp of  ~~$\hat{a}, \hat{b}, \hat{c}$~~   $\langle \hat{a}, \hat{b}, \hat{c} \rangle$

A plane  $\perp$  to parabola at  $(p, q)$

has the form.

$$z = 2px + 2qy - (p^2 + q^2)$$

shifted ...

$$z = 2px + 2qy + (p^2 + q^2) + h^2$$

$$x^2 + y^2 = \quad "$$

$$= \text{turn } (x+p)^2 + (y+q)^2 = h^2.$$

So plane  $sh \perp$  to  $(p_1y^2 + p_2y^2)$

at height  $h$  is  $p_1y^2 + p_2y^2$

a circle radius  $h$

$\Rightarrow$  lemma... if  $\dots$

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$\exists$   $\text{conv}$  well  $\Rightarrow$  Existence of  $R$  only  $\Delta$

---

1.6

There

1.6

Lemma The Largest angle of

$\Delta ABC \in DT$  of a saturated packing.

satisfies:

$$\frac{\pi}{3} \leq \Theta \leq \frac{2\pi}{3}$$

□

obvious

by largest

angle

lemma

□.

Assume  $\Theta \geq \frac{2\pi}{3} \Rightarrow$  Circumradius  $\Delta ABC > 2$ .

if  $A$  smallest angle,

$$\text{and } \Theta \geq \frac{2\pi}{3} \Rightarrow A \leq \frac{\pi}{6}$$

$$\Rightarrow \sin(A) \leq \frac{1}{2}$$

Circumradius formula.

$$R = \frac{1}{2} \frac{BC}{\sin A} \geq \frac{1}{2} \frac{2}{\frac{1}{2}} = 4$$

✗

Lemma

$\Delta$  density in a set  $\Delta DT$

$$\leq \frac{\pi}{\sqrt{3}}, \text{ ) = sharp if equil.}$$

$B$  Largest Angle  $\Delta ABC$ .

$$\text{area} = \frac{1}{2} \overline{AB} \cdot \overline{CB} \sin B$$

$$\geq \frac{1}{2} \cdot 2 \cdot 2 \cdot \min_{\left[\frac{\pi}{3}, \frac{2\pi}{3}\right]} \sin B$$

$$= \frac{1}{2} \cdot 2 \cdot 2 \cdot \frac{\sqrt{3}}{2} \quad \text{when} \\ B = \frac{\pi}{3}$$

$$\text{So Area } DT \geq \sqrt{3}$$

Theorem

$$\Rightarrow \text{density}_{DT} \leq \frac{\pi}{2\sqrt{3}} \quad \square$$



Density of P

$$= \sum_{\Delta_i, DT} \frac{\text{area } DT \times \text{Density of } DT}{\text{area } DT}$$

$$\leq \frac{\pi}{\sqrt{12}}$$

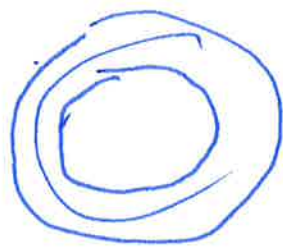
$\Rightarrow$  by finite union  
of DT has

$$\text{density} < \frac{\pi}{\sqrt{12}}.$$

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finite union of the  $\Delta$   
is not possible.

so error in the line  
is  $\partial$  low



$$\rho < \frac{\pi}{\sqrt{12}}.$$